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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
0127/1101.019

#30340

In Re Application Of: **Jiang, et al.**

U.S. PATENT & TRADEMARK OFFICE  
CHIEFLY USED

JAN 16 2002

Serial No. **09/883,007**

Filing Date  
**06/15/01**

Examiner

Group Art Unit

Title: **CHOLESTERIC LIQUID CRYSTAL POLARIZING DEVICE**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

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COPY OF PAPER  
MAILED

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**CHOLESTERIC LIQUID CRYSTAL POLARIZING DEVICE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0734 as described below. A duplicate copy of this sheet is enclosed.
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CC:

Dated: **11/02/01**

<b>INFORMATION DISCLOSURE CITATION</b> <small>(Use several sheets if necessary)</small>				Docket Number (Optional) <b>0127/1101.0</b>		Application Number <b>09/883,007</b>	
				Applicant(s) <b>Jiang, et al.</b>			
				Filing Date <b>06/15/01</b>		Group Art Unit	
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		<b>5,691,789</b>	<b>11/25/97</b>	Li, et al.			
		<b>6,034,753</b>	<b>3/7/00</b>	Li, et al.	<b>COPY OF PAPER</b> <b>ORIGINALLY FILED</b>		
<b>FOREIGN PATENT DOCUMENTS</b>							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
		"Cholesteric color filters: optical characteristics, light recycling and brightness enhancement", A. Hochbaum, Y. Jiang, L. Li, S. Vartak and S. Faris M. Faris, SID99 Digest, 1063.					
		A Single-Layer Super Broadband Reflective Polarizer. by Le Li and Sadeg M. Faris., Sid International Symposium, Digest Tech Paper, vol. 27, 1996, p. 111-113					
EXAMINER				DATE CONSIDERED			
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							